117th CONGRESS 1st Session

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- To amend the Inspector General Act of 1978 to provide that the President or certain agency heads may remove an Inspector General, or place an Inspector General on non-duty status, only if certain conditions are satisfied, and for other purposes.

## IN THE SENATE OF THE UNITED STATES

Mr. GRASSLEY introduced the following bill; which was read twice and referred to the Committee on \_\_\_\_\_

## A BILL

- To amend the Inspector General Act of 1978 to provide that the President or certain agency heads may remove an Inspector General, or place an Inspector General on non-duty status, only if certain conditions are satisfied, and for other purposes.
  - 1 Be it enacted by the Senate and House of Representa-
  - 2 tives of the United States of America in Congress assembled,

## **3** SECTION 1. SHORT TITLE.

4 This Act may be cited as the "Securing Inspector5 General Independence Act of 2021".

1	SEC. 2. REMOVAL OR TRANSFER OF INSPECTORS GENERAL;
2	PLACEMENT ON NON-DUTY STATUS.
3	(a) IN GENERAL.—The Inspector General Act of
4	1978 (5 U.S.C. App.) is amended—
5	(1) in section $3(b)$ —
6	(A) by inserting "(1)(A)" after "(b)";
7	(B) in paragraph (1), as so designated—
8	(i) in subparagraph (A), as so des-
9	ignated, in the second sentence—
10	(I) by striking "reasons" and in-
11	serting the following: "substantive ra-
12	tionale, including detailed and case-
13	specific reasons,"; and
14	(II) by inserting "(including to
15	the Committee on Homeland Security
16	and Governmental Affairs of the Sen-
17	ate, the Committee on Oversight and
18	Reform of the House of Representa-
19	tives, and any other congressional
20	committee that has jurisdiction with
21	respect to that Inspector General)"
22	after "Houses of Congress"; and
23	(ii) by adding at the end the fol-
24	lowing:
25	"(B) If there is an open or completed inquiry into

25 "(B) If there is an open or completed inquiry into26 an Inspector General that relates to the removal or trans-

fer of the Inspector General under subparagraph (A), the
 written communication required under that subparagraph
 shall—

4 "(i) identify each entity that is conducting, or
5 that conducted, the inquiry; and

6 "(ii) in the case of a completed inquiry, contain7 the findings made during the inquiry."; and

8 (C) by adding at the end the following:

9 "(2)(A) Subject to the other provisions of this para10 graph, only the President may place an Inspector General
11 on non-duty status.

12 "(B) If the President places an Inspector General on 13 non-duty status, the President shall communicate in writing the substantive rationale, including detailed and case-14 15 specific reasons, for the change in status to both Houses of Congress (including to the Committee on Homeland Se-16 17 curity and Governmental Affairs of the Senate, the Committee on Oversight and Reform of the House of Rep-18 19 resentatives, and any other congressional committee that 20 has jurisdiction with respect to that Inspector General) 21 not later than 15 days before the date on which the change 22 in status takes effect, except that the President may sub-23 mit that communication on the date on which the change 24 in status takes effect if—

"(i) the President has made a determination
that the continued presence of the Inspector General
in the workplace poses a threat described in any of
clauses (i) through (iv) of section $6329b(b)(2)(A)$ of
title 5, United States Code; and
"(ii) in the communication, the President in-
cludes a report on the determination described in
clause (i), which shall include—
"(I) a specification of which clause of sec-
tion $6329b(b)(2)(A)$ of title 5, United States
Code, the President has determined applies
under clause (i) of this subparagraph;
"(II) the substantive rationale, including
detailed and case-specific reasons, for the deter-
mination made under clause (i);
"(III) an identification of each entity that
is conducting, or that conducted, any inquiry
upon which the determination under clause (i)
was made; and
"(IV) in the case of an inquiry described
in subclause (III) that is completed, the find-
ings made during that inquiry.
"(C) The President may not place an Inspector Gen-
eral on non-duty status during the 30-day period pre-
ceding the date on which the Inspector General is removed

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or transferred under paragraph (1)(A) unless the Presi dent—

"(i) has made a determination that the continued presence of the Inspector General in the workplace poses a threat described in any of clauses (i)
through (iv) of section 6329b(b)(2)(A) of title 5,
United States Code; and

8 "(ii) not later than the date on which the 9 change in status takes effect, submits to both 10 Houses of Congress (including to the Committee on 11 Homeland Security and Governmental Affairs of the 12 Senate, the Committee on Oversight and Reform of 13 the House of Representatives, and any other con-14 gressional committee that has jurisdiction with re-15 spect to that Inspector General) a written commu-16 nication that contains the information required 17 under subparagraph (B), including the report re-18 quired under clause (ii) of that subparagraph.

19 "(D) For the purposes of this paragraph—

20 "(i) the term 'Inspector General'—

21 "(I) means an Inspector General who was
22 appointed by the President, without regard to
23 whether the Senate provided advice and consent
24 with respect to that appointment; and

1	"(II) includes the Inspector General of an
2	establishment, the Inspector General of the In-
3	telligence Community, the Inspector General of
4	the Central Intelligence Agency, the Special In-
5	spector General for Afghanistan Reconstruc-
6	tion, the Special Inspector General for the
7	Troubled Asset Relief Program, and the Special
8	Inspector General for Pandemic Recovery; and
9	"(ii) a reference to the removal or transfer of
10	an Inspector General under paragraph (1), or to the
11	written communication described in that paragraph,
12	shall be considered to be—
13	"(I) in the case of the Inspector General of
14	the Intelligence Community, a reference to sec-
15	tion $103H(c)(4)$ of the National Security Act of
16	1947 (50 U.S.C. 3033(c)(4));
17	"(II) in the case of the Inspector General
18	of the Central Intelligence Agency, a reference
19	to section $17(b)(6)$ of the Central Intelligence
20	Agency Act of 1949 (50 U.S.C. 3517(b)(6));
21	"(III) in the case of the Special Inspector
22	General for Afghanistan Reconstruction, a ref-
23	erence to section $1229(c)(6)$ of the National
24	Defense Authorization Act for Fiscal Year 2008
25	(Public Law 110–181; 122 Stat. 379);

1	"(IV) in the case of the Special Inspector
2	General for the Troubled Asset Relief Program,
3	a reference to section $121(b)(4)$ of the Emer-
4	gency Economic Stabilization Act of 2008 (12
5	U.S.C. 5231(b)(4)); and
6	"(V) in the case of the Special Inspector
7	General for Pandemic Recovery, a reference to
8	section $4018(b)(3)$ of the CARES Act (15
9	U.S.C. 9053(b)(3))."; and
10	(2) in section $8G(e)$ —
11	(A) in paragraph (1), by inserting "or
12	placement on non-duty status" after "a re-
13	moval";
14	(B) in paragraph (2)—
15	(i) by inserting "(A)" after "(2)";
16	(ii) in subparagraph (A), as so des-
17	ignated, in the first sentence—
18	(I) by striking "reasons" and in-
19	serting the following: "substantive ra-
20	tionale, including detailed and case-
21	specific reasons,"; and
22	(II) by inserting "(including to
23	the Committee on Homeland Security
24	and Governmental Affairs of the Sen-
25	ate, the Committee on Oversight and

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1	Reform of the House of Representa-
2	tives, and any other congressional
3	committee that has jurisdiction with
4	respect to that Inspector General)"
5	after "Houses of Congress"; and
6	(iii) by adding at the end the fol-
7	lowing:
8	"(B) If there is an open or completed inquiry into
9	an Inspector General that relates to the removal or trans-
10	fer of the Inspector General under subparagraph (A), the
11	written communication required under that subparagraph
12	shall—
13	"(i) identify each entity that is conducting, or
14	that conducted, the inquiry; and
15	"(ii) in the case of a completed inquiry, contain
16	the findings made during the inquiry."; and
17	(C) by adding at the end the following:
18	"(3)(A) Subject to the other provisions of this para-
19	graph, only the head of the applicable designated Federal
20	entity (referred to in this paragraph as the 'covered offi-
21	cial') may place an Inspector General on non-duty status.
22	"(B) If a covered official places an Inspector General
23	on non-duty status, the covered official shall communicate
24	in writing the substantive rationale, including detailed and
25	case-specific reasons, for the change in status to both

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Houses of Congress (including to the Committee on 1 2 Homeland Security and Governmental Affairs of the Sen-3 ate, the Committee on Oversight and Reform of the House 4 of Representatives, and any other congressional committee 5 that has jurisdiction with respect to that Inspector General) not later than 15 days before the date on which the 6 7 change in status takes effect, except that the covered offi-8 cial may submit that communication on the date on which 9 the change in status takes effect if—

10 "(i) the covered official has made a determina-11 tion that the continued presence of the Inspector 12 General in the workplace poses a threat described in 13 of clauses (i) through (iv) of anv section 6329b(b)(2)(A) of title 5, United States Code; and 14 15 "(ii) in the communication, the covered official 16 includes a report on the determination described in 17 clause (i), which shall include—

18 "(I) a specification of which clause of sec19 tion 6329b(b)(2)(A) of title 5, United States
20 Code, the covered official has determined ap21 plies under clause (i) of this subparagraph;

22 "(II) the substantive rationale, including
23 detailed and case-specific reasons, for the deter24 mination made under clause (i);

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1	"(III) an identification of each entity that
2	is conducting, or that conducted, any inquiry
3	upon which the determination under clause (i)
4	was made; and
5	"(IV) in the case of an inquiry described
6	in subclause (III) that is completed, the find-
7	ings made during that inquiry.
8	"(C) A covered official may not place an Inspector
9	General on non-duty status during the 30-day period pre-
10	ceding the date on which the Inspector General is removed
11	or transferred under paragraph (2)(A) unless the covered
12	official—
13	"(i) has made a determination that the contin-
14	ued presence of the Inspector General in the work-
15	place poses a threat described in any of clauses (i)
16	through (iv) of section $6329b(b)(2)(A)$ of title 5,
17	United States Code; and
18	"(ii) not later than the date on which the
19	change in status takes effect, submits to both
20	Houses of Congress (including to the Committee on
21	Homeland Security and Governmental Affairs of the
22	Senate, the Committee on Oversight and Reform of
23	the House of Representatives, and any other con-
24	gressional committee that has jurisdiction with re-
25	spect to that Inspector General) a written commu-

1	nication that contains the information required
2	under subparagraph (B), including the report re-
3	quired under clause (ii) of that subparagraph.
4	"(D) Nothing in this paragraph may be construed to
5	limit or otherwise modify—
6	"(i) any statutory protection that is afforded to
7	an Inspector General; or
8	"(ii) any other action that a covered official
9	may take under law with respect to an Inspector
10	General.".
11	(b) Technical and Conforming Amendment.—
12	Section $12(3)$ of the Inspector General Act of $1978$ (5
13	U.S.C. App.) is amended by inserting "except as otherwise
14	expressly provided," before "the term".
15	SEC. 3. VACANCY IN POSITION OF INSPECTOR GENERAL.
16	(a) IN GENERAL.—Section 3 of the Inspector General
17	Act of 1978 (5 U.S.C. App.) is amended by adding at the
18	end the following:
19	"(h)(1) In this subsection—
20	"(A) the term 'first assistant to the position of
21	Inspector General' means, with respect to an Office
22	of Inspector General—
23	"(i) an individual who, as of the day before
24	the date on which the Inspector General dies,

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1	resigns, or otherwise becomes unable to perform
2	the functions and duties of that position—
3	"(I) is serving in a position in that
4	Office; and
5	"(II) has been designated in writing
6	by the Inspector General, through an order
7	of succession or otherwise, as the first as-
8	sistant to the position of Inspector Gen-
9	eral; or
10	"(ii) if the Inspector General has not made
11	a designation described in clause (i)(II)—
12	"(I) the Principal Deputy Inspector
13	General of that Office, as of the day before
14	the date on which the Inspector General
15	dies, resigns, or otherwise becomes unable
16	to perform the functions and duties of that
17	position; or
18	"(II) if there is no Principal Deputy
19	Inspector General of that Office, the Dep-
20	uty Inspector General of that Office, as of
21	the day before the date on which the In-
22	spector General dies, resigns, or otherwise
23	becomes unable to perform the functions
24	and duties of that position; and
25	"(B) the term 'Inspector General'—

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1	"(i) means an Inspector General who is ap-
2	pointed by the President, by and with the ad-
3	vice and consent of the Senate; and
4	"(ii) includes the Inspector General of an
5	establishment, the Inspector General of the In-
6	telligence Community, the Inspector General of
7	the Central Intelligence Agency, the Special In-
8	spector General for the Troubled Asset Relief
9	Program, and the Special Inspector General for
10	Pandemic Recovery.
11	"(2) If an Inspector General dies, resigns, or is other-
12	wise unable to perform the functions and duties of the po-
13	sition—
14	"(A) section 3345(a) of title 5, United States
15	Code, and section 103(e) of the National Security
16	Act of 1947 (50 U.S.C. 3025(e)) shall not apply;
17	"(B) subject to paragraph (4), the first assist-
18	ant to the position of Inspector General shall per-
19	form the functions and duties of the Inspector Gen-
20	eral temporarily in an acting capacity subject to the
21	time limitations of section 3346 of title 5, United
22	States Code; and
23	"(C) notwithstanding subparagraph (B), and
24	subject to paragraphs (4) and (5), the President
25	(and only the President) may direct an officer or

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1	employee of any Office of an Inspector General to
2	perform the functions and duties of the Inspector
3	General temporarily in an acting capacity subject to
4	the time limitations of section 3346 of title 5,
5	United States Code, only if—
6	"(i) during the 365-day period preceding
7	the date of death, resignation, or beginning of
8	inability to serve of the Inspector General, the
9	officer or employee served in a position in an
10	Office of an Inspector General for not less than
11	90 days, except that—
12	"(I) the requirement under this clause
13	shall not apply if the officer is an Inspec-
14	tor General; and
15	"(II) for the purposes of this subpara-
16	graph, performing the functions and duties
17	of an Inspector General temporarily in an
18	acting capacity does not qualify as service
19	in a position in an Office of an Inspector
20	General;
21	"(ii) the rate of pay for the position of the
22	officer or employee described in clause (i) is
23	equal to or greater than the minimum rate of
24	pay payable for a position at GS-15 of the
25	General Schedule;

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"(iii) the officer or employee has demonstrated ability in accounting, auditing, financial analysis, law, management analysis, public administration, or investigations; and

5 "(iv) not later than 30 days before the 6 date on which the direction takes effect, the President communicates in writing to both 7 8 Houses of Congress (including to the Com-9 mittee on Homeland Security and Govern-10 mental Affairs of the Senate, the Committee on 11 Oversight and Reform of the House of Rep-12 resentatives, and any other congressional com-13 mittee that has jurisdiction with respect to that 14 Inspector General) the substantive rationale, in-15 cluding the detailed and case-specific reasons, 16 for such direction, including the reason for the 17 direction that someone other than the individual 18 who is performing the functions and duties of 19 the Inspector General temporarily in an acting 20 capacity (as of the date on which the President 21 issues that direction) perform those functions 22 and duties temporarily in an acting capacity.

"(3) Notwithstanding section 3345(a) of title 5,
United States Code, section 103(e) of the National Security Act of 1947 (50 U.S.C. 3025(e)), and subparagraphs

1 (B) and (C) of paragraph (2), and subject to paragraph
2 (4), during any period in which an Inspector General is
3 on non-duty status—

4 "(A) the first assistant to the position of In5 spector General shall perform the functions and du6 ties of the position temporarily in an acting capacity
7 subject to the time limitations of section 3346 of
8 title 5, United States Code; and

9 "(B) if the first assistant described in subpara-10 graph (A) dies, resigns, or becomes otherwise unable 11 to perform those functions and duties, the President 12 (and only the President) may direct an officer or 13 employee in that Office of Inspector General to per-14 form those functions and duties temporarily in an 15 acting capacity, subject to the time limitations of 16 section 3346 of title 5, United States Code, if—

17 "(i) that direction satisfies the require18 ments under clauses (ii), (iii), and (iv) of para19 graph (2)(C); and

"(ii) that officer or employee served in a
position in that Office of Inspector General for
not fewer than 90 of the 365 days preceding
the date on which the President makes that direction.

"(4) An individual may perform the functions and
 duties of an Inspector General temporarily and in an act ing capacity under subparagraph (B) or (C) of paragraph
 (2), or under paragraph (3), with respect to only 1 Inspec tor General position at any given time.

6 "(5) If the President makes a direction under para-7 graph (2)(C), during the 30-day period preceding the date 8 on which the direction of the President takes effect, the 9 functions and duties of the position of the applicable In-10 spector General shall be performed by—

11 "(A) the first assistant to the position of In-12 spector General; or

"(B) the individual performing those functions
and duties temporarily in an acting capacity, as of
the date on which the President issues that direction, if that individual is an individual other than
the first assistant to the position of Inspector General.".

(b) RULE OF CONSTRUCTION.—Nothing in the
amendment made by subsection (a) may be construed to
limit the applicability of sections 3345 through 3349d of
title 5, United States Code (commonly known as the "Federal Vacancies Reform Act of 1998"), other than with respect to section 3345(a) of that title.

25 (c) EFFECTIVE DATE.—

1	(1) DEFINITION.—In this subsection, the term
2	"Inspector General" has the meaning given the term
3	in subsection $(h)(1)(B)$ of section 3 of the Inspector
4	General Act of 1978 (5 U.S.C. App.), as added by
5	subsection (a) of this section.
6	(2) Applicability.—
7	(A) IN GENERAL.—Except as provided in
8	subparagraph (B), this section, and the amend-
9	ments made by this section, shall take effect on
10	the date of enactment of this Act.
11	(B) EXISTING VACANCIES.—If, as of the
12	date of enactment of this Act, an individual is
13	performing the functions and duties of an In-
14	spector General temporarily in an acting capac-
15	ity, this section, and the amendments made by
16	this section, shall take effect with respect to
17	that Inspector General position on the date that
18	is 30 days after the date of enactment of this
19	Act.
20	SEC. 4. OFFICE OF INSPECTOR GENERAL WHISTLEBLOWER
21	COMPLAINTS.
22	(a) Whistleblower Protection Coordinator.—
23	Section $3(d)(1)(C)$ of the Inspector General Act of 1978
24	(5 U.S.C. App.) is amended—

(1) in clause (i), in the matter preceding sub clause (I), by inserting ", including employees of
 that Office of Inspector General" after "employees";
 and

5 (2) in clause (iii), by inserting "(including the
6 Integrity Committee of that Council)" after "and
7 Efficiency".

8 (b) Council of the Inspectors General on In-9 TEGRITY AND EFFICIENCY.—Section 11(c)(5)(B) of the Inspector General Act of 1978 (5 U.S.C. App.) is amended 10 by striking ", allegations of reprisal," and inserting the 11 following: "and allegations of reprisal (including the timely 12 13 and appropriate handling and consideration of protected 14 disclosures and allegations of reprisal that are internal to 15 an Office of Inspector General)".